

POSEIDON trial phase 1b results: safety, efficacy and circulating tumor DNA response of the beta isoform-sparing PI3K inhibitor taselisib (GDC-0032) combined with tamoxifen in hormone receptor positive metastatic breast cancer patients.

Authors:

Richard D. Baird^{1*}, Annelot G.J. van Rossum^{2*}, Mafalda Oliveira^{3*}, Karin Beelen^{2,6}, Meiling Gao¹, Mariette Schrier², Ingrid A.M. Mandjes², Javier Garcia-Corbacho¹, Anne-Laure Vallier¹, Greig Dougall¹, Erik van Werkhoven², Constanza Linossi¹, Sanjeev Kumar¹, Harm van Tinteren², Maurizio Callari¹, Emma Beddowes¹, José-Manuel Perez-Garcia^{3,4}, Hilde Rosing², Else Platte², Petra Nederlof², Margaret Schot², Aurelia de Vries Schultink², René Bernards², Cristina Saura³, William Gallagher⁵, Javier Cortès^{3,4†}, Carlos Caldas^{1†}, Sabine C. Linn^{2,7†}

*these authors contributed equally; †these authors contributed equally

Affiliations

¹Cancer Research UK Cambridge Centre, United Kingdom

²Netherlands Cancer Institute, Amsterdam, The Netherlands

³Vall d'Hebron University Hospital and Institute of Oncology, Barcelona, Spain

⁴Ramon y Cajal University Hospital, Madrid, Spain

⁵University College Dublin, Ireland

⁶Reinier de Graaf Gasthuis, Delft, The Netherlands

⁷University Medical Center Utrecht, Utrecht, The Netherlands

Running title: Phase 1b trial of the PI3K inhibitor taselisib in combination with tamoxifen.

Key words: PI3K inhibitor, phase I, pharmacokinetics, circulating tumor DNA, breast cancer, taselisib

29

30

31 **Acknowledgements for research support:**

32 POSEIDON is a European investigator-initiated trial, funded by the EU FP7 RATHER
 33 consortium (project ID: 258967) and EurocanPlatform (project ID: 260791), with additional
 34 support from an unrestricted research grant from Genentech, and led by the Netherlands
 35 Cancer Institute (Amsterdam, the Netherlands), Cambridge Cancer Centre (Cambridge,
 36 UK), and Vall d'Hebron Institute of Oncology (Barcelona, Spain). The investigators would
 37 like to thank the patients who took part in the study, and their families. Support is also
 38 acknowledged from the NCI Data Center, the NCI Core Facility Molecular Pathology &
 39 Biobanking (CFMPB), Cancer Research UK Cambridge Cancer Centre, and the
 40 Cambridge: Experimental Cancer Medicine Centre (ECMC); Cancer Molecular Diagnostics
 41 Laboratory (CMDL); NIHR Biomedical Research Centre (BRC); and Cambridge Clinical
 42 Research Centre (CCRC).

43

44 **Corresponding author**

45 Dr. Richard D. Baird MD PhD
 46 Breast Cancer Research Unit, Addenbrookes Hospital Box 97
 47 Cancer Research UK Cambridge Centre, Cambridge CB2 0QQ
 48 Phone number: +44 1223 769463 Email: rdb39@cam.ac.uk

49

50 **Conflict of interest disclosure statement**

51 R.D.B. has received institutional research grants from Genentech and AstraZeneca, and
 52 has served as a consultant for Genentech, Roche and Novartis. M.O has received
 53 institutional research funding from Genentech and AstraZeneca, and has served as a
 54 consultant for Roche/Genentech, and PUMA Biotechnology. J.C. has received honoraria
 55 from Eisai, Novartis, and Pfizer, and is a consultant and/or adviser for AstraZeneca

56 Biothera, Celgene, Cellestia Biotech, Merus and Roche. C.C. is a member of the
57 AstraZeneca External Science Panel and has research grants from Roche, Genentech,
58 AstraZeneca, and Servier that are administered by the University of Cambridge. S.C.L.
59 has received institutional research funding from AstraZeneca, Amgen, BMS, Roche, and
60 Genentech and is an advisory board member for AstraZeneca. No potential conflicts of
61 interest were disclosed by the other authors.

62

63 **The study has been presented (in part) at:**

64 The Annual Meeting of the American Society of Clinical Oncology 2016, Abstract #2520.

65

66

67 **STATEMENT OF TRANSLATIONAL RELEVANCE:**

68

69 The strategy of combining endocrine therapy with PI3K-mTOR inhibition has shown
70 promise in oestrogen receptor-positive breast cancer, but new agents and combinations
71 with a better therapeutic index are urgently needed. Taselisib is a potent, selective,
72 PI3kinase inhibitor. In this phase 1b trial, 30 patients with ER-positive, metastatic breast
73 cancer who had failed prior endocrine therapy were treated with escalating doses of
74 taselisib combined with tamoxifen. The combination was generally well tolerated, with
75 adverse events as expected for this class of drugs, including diarrhea, mucositis and
76 hyperglycemia. No dose-limiting toxicities were observed. Objective responses were seen
77 in 6 out of 25 patients with RECIST-measurable disease (ORR 24%). 12 out of 30 patients
78 (40%) had disease control for 6 months or more. Circulating tumor DNA studies using
79 next-generation tagged amplicon sequencing identified early indications of treatment
80 response and mechanistically-relevant correlates of clinical drug resistance (eg. mutations
81 in *KRAS*, *ERBB2*) in some patients.

82

83

84 **ABSTRACT:**

85

86 **Background:** The strategy of combining endocrine therapy with PI3K-mTOR inhibition has
 87 shown promise in oestrogen-receptor (ER)-positive breast cancer, but new agents and
 88 combinations with a better therapeutic index are urgently needed. Taselisib is a potent,
 89 selective, beta-isoform sparing PI3 kinase inhibitor. **Patients and Methods:** 30 patients
 90 with ER-positive, metastatic breast cancer who had failed prior endocrine therapy were
 91 treated with escalating doses of taselisib (2 or 4 mg in an intermittent or continuous
 92 schedule) combined with tamoxifen 20mg once daily in this phase 1b study using a 'rolling
 93 six' design. **Results:** Taselisib combined with tamoxifen was generally well tolerated, with
 94 treatment-emergent adverse events as expected for this class of drugs, including diarrhea
 95 (13 patients, 43%), mucositis (10 patients, 33%) and hyperglycemia (8 patients, 27%). No
 96 dose-limiting toxicities were observed. Objective responses were seen in 6 out of 25
 97 patients with RECIST-measurable disease (ORR 24%). Median time to disease
 98 progression was 3.7 months. 12 out of 30 patients (40%) had disease control for 6 months
 99 or more. Circulating tumor (ct)DNA studies using next-generation tagged amplicon
 100 sequencing identified early indications of treatment response and mechanistically-relevant
 101 correlates of clinical drug resistance (eg. mutations in *KRAS*, *ERBB2*) in some patients.
 102 **Conclusions:** Taselisib can be safely combined with tamoxifen at the recommended
 103 phase 2 dose of 4mg given once daily on a continuous schedule. Preliminary evidence of
 104 anti-tumor activity was seen in both *PIK3CA* mutant and wild-type cancers. The
 105 randomized phase 2 part of POSEIDON (testing tamoxifen plus taselisib or placebo) is
 106 currently recruiting.

107

108 INTRODUCTION

109

110 The strategy of combining endocrine therapy with inhibitors of the phosphatidylinositol 3–
 111 kinase (PI3K)/AKT/mammalian target of rapamycin (mTOR) pathway has shown promise
 112 in ER-positive breast cancer(1,2), where there is a high prevalence of pathway alterations.
 113 However the modest improvement in treatment efficacy when adding these agents has
 114 frequently been offset by significant increased toxicity(3).

115

116 Taselisib (GDC-0032) is an oral, potent, isoform-selective inhibitor of PI3K alpha, delta and
 117 gamma isoforms, with 30-fold less inhibition of PI3K beta relative to alpha ($K_i = 0.29\text{nM}$)(4).
 118 In taselisib early clinical development, anti-tumor activity was observed in patients with
 119 ER-positive breast cancer, with proportionately more responses in *PIK3CA*-mutant
 120 compared with *PIK3CA* wild-type tumors, consistent with preclinical data(5). This was true
 121 both for taselisib as a single agent, and also for taselisib in combination with other anti-
 122 oestrogens fulvestrant and letrozole(6,7).

123

124 Tamoxifen is well established endocrine therapy frequently used for the treatment of ER-
 125 positive breast cancer, increasingly in patients who have failed prior endocrine therapies
 126 including aromatase inhibitors and/or fulvestrant. To overcome endocrine resistance,
 127 CDK4/6 inhibitors have shown to be of added value(8), and are increasingly being utilized
 128 in the first or second-line setting. However not all patients derive benefit from a
 129 combination with CDK4/6 inhibitors. Inhibition of the PI3K pathway in combination with
 130 tamoxifen may be beneficial for a significant proportion of ER-positive patients, most likely
 131 in the second- or third-line setting.

132

133 We undertook a phase 1b trial to establish the safety, tolerability, and recommended
 134 phase 2 dose (RP2D) of taselisib in combination with tamoxifen, for patients with hormone
 135 receptor (HR)-positive metastatic breast cancer with progression after prior endocrine
 136 therapy. Secondary and exploratory objectives included assessment of pharmacokinetics
 137 (PK) and (preliminary) anti-tumor efficacy. Correlative translational studies were performed
 138 to identify biomarkers with potential clinical utility, including intensive plasma sampling for
 139 circulating tumor (ct)DNA analysis using next generation tagged amplicon sequencing.
 140 ctDNA monitoring in early phase clinical trials may have value in drug development(9) for
 141 the assessment of biomarkers which can: predict response to therapy(10); provide an
 142 early indication of treatment response(11); and shed light on potential mechanisms of
 143 acquired drug resistance(12).

144

145 **PATIENTS AND METHODS**

146

147 **Patients**

148 This phase 1b, multi-centre, dose-escalation study was conducted in Amsterdam,
 149 Barcelona and Cambridge, UK. The study was conducted in accordance with Good
 150 Clinical Practice and the Declaration of Helsinki and was approved by regulatory
 151 authorities, ethics committees and institutional review boards at each site. All patients had
 152 HR-positive breast cancer and provided written informed consent before taking part. Other
 153 key inclusion criteria: measurable or non-measurable disease according to Response
 154 Evaluation Criteria In Solid Tumors (RECIST) version 1.1; age \geq 18 years; life expectancy
 155 \geq 12 weeks; fasting glucose \leq 120mg/dL and HbA1c below the upper limit of normal (ULN).
 156 Key exclusion criteria: more than 5 prior chemotherapeutic regimens for metastatic breast
 157 cancer; presence of untreated, symptomatic or progressive brain metastases; diabetes

158 mellitus requiring anti-hyperglycemic medication; history of thrombo-embolic or
 159 inflammatory bowel disease.

160

161

162 **Study Design and Drug Administration**

163 The phase 1b part of the POSEIDON trial reported here used a rolling 6 design to test 3
 164 doses/schedules of taselisib tablets in combination with 20mg tamoxifen daily (QD).
 165 Cohort 1 tested tamoxifen plus 2mg taselisib QD in a 21 day on / 7 day off intermittent
 166 schedule; Cohort 2 tested tamoxifen plus 4mg taselisib QD in a 21 day on / 7 day off
 167 intermittent schedule; and Cohort 3 tested tamoxifen plus 4mg taselisib QD in a 28 day
 168 continuous schedule. Planned cohort expansions were undertaken in cohorts 2 and 3 to
 169 gain additional preliminary data regarding safety, tolerability and efficacy. On cycle 1 day 1,
 170 only taselisib was administered for single agent PK studies. Tamoxifen was administered
 171 in combination with taselisib from cycle 1 day 2 onwards.

172

173 **Safety & Dose Intensity**

174 Data on Adverse Events (AEs) was collected according to the NCI Common Terminology
 175 Criteria for Adverse Events (CTCAE) version 4.03. All AEs were collected regardless of
 176 causality until 30-days after the last study drug administration. Dose-Limiting Toxicities
 177 (DLTs) were those treatment-emergent AEs occurring during cycle 1 (days 1-28) which
 178 warranted a dose-reduction or which were \geq grade 3 with exceptions listed in
 179 Supplementary Methods [SM]. Relative dose intensity of both taselisib and tamoxifen was
 180 defined as the actual received dose intensity divided by the intended dose intensity.

181

182 **Plasma Pharmacokinetic and Circulating Tumor (ct)DNA Studies**

183 Details of plasma taselisib(13) and tamoxifen(14) pharmacokinetic assays, and ctDNA
 184 assays(11,12,15) are provided in [SM].

185

186

187

188 **Tumor Response**

189 Tumor response to treatment was evaluated clinically and also by CT scan assessments
 190 every 8 weeks (2 cycles of treatment), with confirmation of objective responses performed
 191 ≥ 4 weeks later. Time to progression (TTP) was calculated from start of treatment until
 192 progressive disease. All patients had progressed at the time of analysis and therefore no
 193 censoring was necessary.

194

195 **RESULTS**

196

197 **Baseline Patient Demographics and Disease Characteristics**

198 From November 2014 to January 2016, 30 patients were enrolled. The cut-off for data
 199 analysis was 8 February 2018. Median treatment duration was 4 months (range 1-17).
 200 Patients had a median of 2 lines of prior endocrine therapy (range 0-3) and 2 lines of prior
 201 cytotoxic chemotherapy (range 0-7) for metastatic disease. Overall 25 out of 30 patients
 202 (83%) had received a prior aromatase inhibitor for the treatment of metastatic disease, and
 203 6/30 (20%) prior fulvestrant (Table 1).

204

205 **Safety and Tolerability**

206 No DLTs were observed. However, shortly after finishing the DLT window, one patient in
 207 cohort 1 developed diarrhea grade 3 due to colitis, therefore the cohort was expanded. As
 208 predefined, cohorts 2 and 3 were expanded to confirm safety of these dose levels.

Following independent data monitoring committee review, the RP2D of taselisib in combination with tamoxifen was set at 4mg in a continuous schedule.

The most common treatment-emergent AEs of any grade were elevated liver enzymes (13 out of 30 patients [43%]), diarrhea (43%), anemia (40%) and oral mucositis (33%, Table 2). The majority of these AEs first occurred during the DLT window, persisted during study treatment, but reversed after treatment discontinuation. AEs of special interest occurred in 6 patients (20%): 3 patients had diarrhea grade 3 due to colitis, 2 patients had rash grade 3 and 1 patient developed pneumonitis grade 4. After withholding the study drugs, and treatment with high dose corticosteroids, all recovered to \leq grade 1.

Pharmacokinetics

The concentration-time curves for taselisib in combination with tamoxifen at cycle 1 day 15 are shown in [S1]. Samples from POSEIDON trial are displayed as individual data points against the backdrop of a population PK model from the broader taselisib clinical development programme provided by Genentech. At the taselisib 4mg daily dose level, combining patients on intermittent and continuous schedules, the cycle 1 day 15 median C_{\max} for taselisib in combination with tamoxifen was 68.7 ng/mL and median AUC 1070 ng.h/mL, compared with an expected median C_{\max} of 59.2 ng/mL (range 33.6-111) and median AUC 1190 ng.h/mL (range 630-2273) from the single agent taselisib population PK model. Endoxifen levels are shown in [S2].

Anti-tumor activity and *PIK3CA* mutational status

Six patients had a confirmed RECIST partial response, yielding an objective response rate (ORR) of 24% in the RECIST-measurable group (n=25), or 20% in the overall intention-to-treat population (n=30). Best responses according are shown as a waterfall plot in Figure 1,

alongside an oncoprint plot showing key gene mutations in baseline plasma or tumor tissue samples. Median TTP for the whole population was 4 months (inter-quartile range 2-8), and 8 months for patients achieving a RECIST partial response. The timecourse of responses to treatment are also visualised on a spider plot (Figure 2) and a swimmers plot [S3]. 12 out of 30 patients had disease control for 6 months or more, thus a 6-month clinical benefit rate (CBR) of 40%.

PIK3CA mutation testing was done for all patients on baseline tumor tissue and on plasma ctDNA samples. *PIK3CA* mutations were found in 8/30 (27%) of patients (see Oncoprint Figure 1 and mutation lollipop diagram [S4]). In this group of 8 patients with *PIK3CA* mutant tumors, 3 patients had a PR, and the other 5 stable disease as their best response. There was no statistically significant difference for *PIK3CA* mutant (exon 9, exon 20 or both) vs. wild-type subgroups for either ORR (38% v. 14%) or TTP (153 v. 113 days, respectively).

Circulating tumor (ct)DNA correlative studies

All patients had serial plasma sampling for ctDNA correlative studies. Here we describe four patients in whom ctDNA results illustrate molecular correlates with treatment response (Figure 3). ctDNA was not detected in all patients, and our data set was not powered to detect overall correlations in the whole patient group.

In the first case, the patient had previously received weekly paclitaxel and anastrozole as treatment for her *PIK3CA* mutant breast cancer metastatic to bone, lung, and subcutaneous tissues, and was treated with tamoxifen plus taselisib in the 4mg QD continuous schedule. A rapid fall in plasma ctDNA *PIK3CA*^{H1047R} fraction was observed

just 1 week after starting therapy, 7 weeks before her first scheduled CT scan to assess treatment response.

In the second case, the patient had previously received epirubicin, exemestane and capecitabine as treatment for her *PIK3CA* mutant breast cancer metastatic to liver and bone and was treated with tamoxifen plus taselisib in the 4mg QD continuous schedule. She did not respond to treatment and an increase in plasma ctDNA *PIK3CA*^{H1047R} fraction was seen on cycle 1 day 15, six weeks before her end of cycle 2 restaging CT scan.

In the third case, the patient had previously received paclitaxel, anastrozole, everolimus-exemestane, capecitabine, vinorelbine-docetaxel and letrozole to treat her *PIK3CA* wild-type breast cancer metastatic to liver and bones and was treated in the tamoxifen plus taselisib 4mg QD 21/7 intermittent cohort. She did not respond to treatment and increases in plasma ctDNA levels were found for *GATA3* and *KRAS* mutations two weeks ahead of cycle 2 CT scan.

In the fourth case, the patient had previously received paclitaxel, letrozole, docetaxel, capecitabine, exemestane and eribulin to treat her *PIK3CA* wild-type breast cancer metastatic to liver and bones and was treated in the tamoxifen plus taselisib 4mg QD continuous cohort. She did not respond to treatment and increases in plasma ctDNA levels were found for *ERBB2* and *CDH1* mutations 34 and 27 days respectively before she came off trial with disease progression.

DISCUSSION

Taselisib in combination with tamoxifen is generally well tolerated, with a side effect profile that was manageable, and consistent with taselisib given as a single agent and in

combination with other endocrine agents. In keeping with other PI3K inhibitors, the commonest side effects were diarrhea, anemia, nausea, mucositis and hyperglycemia. Three out of 30 patients had grade 3 colitis, one patient was found to have grade 4 pneumonitis, all of which were reversible. The RP2D of taselisib in combination with tamoxifen was determined to be 4mg on a daily continuous schedule.

Tamoxifen is a pro-drug that is converted to its active metabolites by cytochrome (CYP) P450 enzymes including CYP2D6, CYP3A4, CYP2B6, and CYP2C19. Taselisib is a weak inhibitor of CYP3A4 and does not inhibit any other CYPs in vitro, and did not alter the PK of midazolam, a CYP3A4 substrate, in the first-in-man study of taselisib (PMT4979g). Therefore, no change in taselisib PK was expected when given in combination with tamoxifen. Indeed, the observed taselisib concentrations at day 15 of cycle 1 were in the same range as those of a previously treated single agent taselisib cohort. Also, cycle 2 day 1 Z-endoxifen levels were on average above the laboratory threshold of 5.9 ng/mL(16) in all dose levels.

Preliminary evidence of anti-tumor activity was observed, with confirmed partial responses seen in 6/25 patients with RECIST-measurable disease (ORR 24%). Responses were seen in patients with *PIK3CA*^{H1047R} mutant, *PIK3CA*^{E545K} mutant and *PIK3CA*^{WT} tumors.

A strong rationale exists to explore the combination of PI3K inhibitors with endocrine therapy for the treatment of ER+ breast cancer. The combination of tamoxifen with everolimus improved the median time to progression from 4.5 to 8.6 months in the TAMRAD trial (1), and it is an important research question to test whether or not isoform-selective PI3K inhibitors have therapeutic advantages over TORC inhibitors in this setting. In addition to the POSEIDON trial combination with tamoxifen, taselisib is given in clinical

312 trials together with fulvestrant (NCT02340221)(17) and letrozole (NCT02273973)(7).
 313 Although *PIK3CA* mutations have been implicated in primary endocrine resistance and
 314 their prevalence is relatively high (20-25% in ductal breast cancer and 40% in lobular
 315 breast cancer), results are conflicting(18,19) and the outcome might depend on the
 316 specific mutation that is studied(20).

317
 318 In the SANDPIPER randomised phase 3 trial (NCT02340221)(17), patients with or without
 319 a *PIK3CA* mutation were randomised between taselisib plus fulvestrant and placebo plus
 320 fulvestrant. Taselisib dose and schedule were the same as recommended for phase 2 of
 321 the POSEIDON study (ie. taselisib 4mg daily continuous). Median PFS with taselisib plus
 322 fulvestrant in patients with a *PIK3CA* mutation was significantly longer (7.4 months) than
 323 with placebo plus fulvestrant (5.4 months; HR 0.70). No significant PFS difference was
 324 observed in patients who had a *PIK3CA* wildtype tumor (median PFS 5.6 months vs 4.0
 325 months). However, information about a test for interaction is lacking. Adverse events grade
 326 3 or higher were observed in almost half of the patients. The toxicity profile seen in
 327 POSEIDON is consistent to that reported in previous trials testing taselisib plus endocrine
 328 therapy in the metastatic setting. Additionally, in the SOLAR-1 randomised phase 3 trial
 329 (NCT02437318)(21) patients with HR-positive, HER2-negative advanced breast cancer
 330 were randomised to receive fulvestrant plus the alpha-isoform-selective PI3K inhibitor
 331 alpelisib, or placebo. The addition of alpelisib to fulvestrant improved PFS in patients with
 332 *PIK3CA* mutations but not *PIK3CA* wild-type patients (median PFS 20 vs. 11 months).

333
 334 Despite these encouraging results, *PIK3CA* mutational status may not on its own be
 335 sufficient to optimally identify which ER-positive breast cancer patients will benefit most
 336 from the addition of a PI3K inhibitor to endocrine therapy. Individual patients with *PIK3CA*
 337 wild-type tumors can respond, and some patients with *PIK3CA* mutant tumors do not.

338 Further studies are required to identify the optimal biomarker profile for PI3K combination
 339 therapy, and how best to use the results of real-time plasma ctDNA monitoring for
 340 the management of individual patients. These questions are being addressed in the
 341 randomised phase 2 part of POSEIDON which is ongoing.

342

343 To conclude, the RP2D of taselisib in combination with tamoxifen 20mg daily is 4mg QD in
 344 a continuous schedule. Phase 2 of POSEIDON (NCT02301988) is currently recruiting and
 345 randomises patients ($N=280$ in total) to receive tamoxifen 20mg daily with either taselisib
 346 4mg or placebo once daily; including a specific focus on patients with lobular breast
 347 cancer ($N=110$); and a major translational effort to identify predictive biomarkers to help
 348 select which patients are most likely to benefit from the addition of a PI3K inhibitor to their
 349 endocrine therapy.

350 REFERENCES

- 351 1. Bachelot TD, Bourgier C, Cropet C, Ray-Coquard I, Ferrero J-M, Freyer G, et al.
 352 Randomized phase II trial of everolimus in combination with tamoxifen in patients
 353 with hormone receptor-positive, human epidermal growth factor receptor 2-negative
 354 metastatic breast cancer with prior exposure to aromatase inhibitors: a GINECO
 355 study. *J Clin Oncol* [Internet]. 2012 [cited 2014 Mar 6];30:2718–24. Available from:
 356 <http://www.ncbi.nlm.nih.gov/pubmed/22565002>
- 357 2. Baselga JM, Campone M, Piccart-Gebhart MJ, Burris III HA, Rugo HS, Sahmoud T,
 358 et al. Everolimus in postmenopausal hormone-receptor-positive advanced breast
 359 cancer. *N Engl J Med* [Internet]. 2012 [cited 2012 Nov 9];366:520–9. Available from:
 360 <http://www.ncbi.nlm.nih.gov/pubmed/22149876>
- 361 3. Chia S, Gandhi S, Joy AA, Edwards S, Gorr M, Hopkins S, et al. Novel agents and
 362 associated toxicities of inhibitors of the PI3k/Akt/mTOR pathway for the treatment of
 363 breast cancer. *Curr Oncol*. 2015;22:33–48.
- 364 4. Ndubaku CO, Heffron TP, Staben ST, Baumgardner M, Blaquiere N, Bradley E, et al.
 365 Discovery of GDC-0032: a β -sparing phosphoinositide 3-kinase inhibitor with high
 366 unbound exposure and robust i. *J Med Chem* [Internet]. 2013 [cited 2014 Mar
 367 14];56:4597–610. Available from: <http://www.ncbi.nlm.nih.gov/pubmed/23662903>
- 368 5. Edgar KA. The PI3K inhibitor, taselisib (GDC-0032), has enhanced potency in
 369 PIK3CA mutant models through a unique mechanism of action . *AACR Annu Meet*.
 370 2016;Abstract no. 370.
- 371 6. Juric D, Krop I, Ramanathan RK, Wilson TR, Ware JA, Sanabria Bohorquez SM, et
 372 al. Phase I Dose-Escalation Study of Taselisib, an Oral PI3K Inhibitor, in Patients
 373 with Advanced Solid Tumors. *Cancer Discov* [Internet]. 2017;7:704–15. Available
 374 from: <http://www.ncbi.nlm.nih.gov/pubmed/28331003>
- 375 7. Saura C. PD5-2 Ph1b study of the PI3K inhibitor taselisib (GDC-0032) in

- 376 combination with letrozole in patients with hormone receptor-positive advanced
 377 breast cancer. San Antonio Breast Cancer Symp [Internet]. 2014; Available from:
 378 <http://www.sabcs.org/Resources/>
- 379 8. Messina C, Cattrini C, Buzzatti G, Cerbone L, Zanardi E, Messina M, et al. CDK4/6
 380 inhibitors in advanced hormone receptor-positive/HER2-negative breast cancer: a
 381 systematic review and meta-analysis of randomized trials. Breast Cancer Res Treat
 382 [Internet]. 2018; Available from: <http://link.springer.com/10.1007/s10549-018-4901-0>
- 383 9. Wan JCM, Massie C, Garcia-Corbacho J, Mouliere F, Brenton JD, Caldas C, et al.
 384 Liquid biopsies come of age: towards implementation of circulating tumour DNA. Nat
 385 Rev Cancer [Internet]. 2017;17:223–38. Available from:
 386 <http://www.ncbi.nlm.nih.gov/pubmed/28233803>
- 387 10. Di Leo A, Johnston S, Lee KS, Ciruelos E, Lønning PE, Janni W, et al. Buparlisib
 388 plus fulvestrant in postmenopausal women with hormone-receptor-positive, HER2-
 389 negative, advanced breast cancer progressing on or after mTOR inhibition (BELLE-
 390 3): a randomised, double-blind, placebo-controlled, phase 3 trial. Lancet Oncol
 391 [Internet]. 2018 [cited 2017 Dec 14];19:87–100. Available from:
 392 <http://linkinghub.elsevier.com/retrieve/pii/S1470204517306885>
- 393 11. Dawson S-J, Tsui DWY, Murtaza M, Biggs H, Rueda OM, Chin S-F, et al. Analysis
 394 of Circulating Tumor DNA to Monitor Metastatic Breast Cancer. N Engl J Med
 395 [Internet]. 2013 [cited 2013 Mar 15];368:1199–209. Available from:
 396 <http://www.nejm.org/doi/abs/10.1056/NEJMoa1213261>
- 397 12. Murtaza M, Dawson S-J, Tsui DWY, Gale D, Forsheew T, Piskorz AM, et al. Non-
 398 invasive analysis of acquired resistance to cancer therapy by sequencing of plasma
 399 DNA. Nature [Internet]. Nature Publishing Group; 2013 [cited 2013 May
 400 22];497:108–12. Available from:
 401 <http://www.nature.com/doi/abs/10.1038/nature12065>

- 402 13. Ding X, Faber K, Shi Y, McKnight J, Dorshorst D, Ware JA, et al. Validation and
 403 determination of taselisib, a β -sparing phosphoinositide 3-kinase (PI3K) inhibitor, in
 404 human plasma by LC-MS/MS. *J Pharm Biomed Anal* [Internet]. Elsevier B.V.;
 405 2016;126:117–23. Available from: <http://dx.doi.org/10.1016/j.jpba.2016.04.030>
- 406 14. Teunissen SF, Jager NGL, Rosing H, Schinkel AH, Schellens JHM, Beijnen JH.
 407 Development and validation of a quantitative assay for the determination of
 408 tamoxifen and its five main phase I metabolites in human serum using liquid
 409 chromatography coupled with tandem mass spectrometry. *J Chromatogr B Anal*
 410 *Technol Biomed Life Sci* [Internet]. 2011;879:1677–85. Available from:
 411 <http://www.ncbi.nlm.nih.gov/pubmed/21543272>
- 412 15. Gao M, Callari M, Beddowes E, Sammut S-J, Grzelak M, Biggs H, et al. Next
 413 Generation-Targeted Amplicon Sequencing (NG-TAS): An optimised protocol and
 414 computational pipeline for cost-effective profiling of circulating tumour DNA. *bioRxiv*
 415 [Internet]. 2018; Available from:
 416 <https://www.biorxiv.org/content/early/2018/07/15/366534>
- 417 16. Madlensky L, Natarajan L, Tchu S, Pu M, Mortimer J, Flatt SW, et al. Tamoxifen
 418 metabolite concentrations, CYP2D6 genotype, and breast cancer outcomes. *Clin*
 419 *Pharmacol Ther*. 2011;
- 420 17. Baselga JM. Phase III study of taselisib (GDC-0032) + fulvestrant (FULV) v FULV in
 421 patients (pts) with estrogen receptor (ER)-positive, PIK3CA-mutant (MUT), locally
 422 advanced or metastatic breast cancer (MBC): Primary analysis from SANDPIPER.
 423 *ASCO Annu Meet* [Internet]. 2018;LBA1006. Available from:
 424 <https://meetinglibrary.asco.org/browse-meetings/2018> ASCO Annual Meeting
- 425 18. Beelen K, Opdam M, Severson TM, Koornstra RHT, Vincent AD, Wesseling J, et al.
 426 PIK3CA mutations, phosphatase and tensin homolog, human epidermal growth
 427 factor receptor 2, and insulin-like growth factor 1 receptor and adjuvant tamoxifen

- 428 resistance in postmenopausal breast cancer patients. *Breast Cancer Res* [Internet].
 429 2014;16:R13. Available from: <http://www.ncbi.nlm.nih.gov/pubmed/24467828>
- 430 19. Beelen K, Zwart W, Linn SC. Can predictive biomarkers in breast cancer guide
 431 adjuvant endocrine therapy? *Nat Rev Clin Oncol* [Internet]. Nature Publishing Group;
 432 2012 [cited 2012 Oct 22];9:529–41. Available from:
 433 <http://www.ncbi.nlm.nih.gov/pubmed/22825374>
- 434 20. Ellis MJ, Lin L, Crowder R, Tao Y, Hoog J, Snider J, et al. Phosphatidylinositol-3-
 435 kinase alpha catalytic subunit mutation and response to neoadjuvant endocrine
 436 therapy for estrogen receptor positive breast cancer. *Breast Cancer Res Treat*
 437 [Internet]. 2010 [cited 2011 Oct 4];119:379–90. Available from:
 438 <http://www.pubmedcentral.nih.gov/articlerender.fcgi?artid=2810126&tool=pmcentrez>
 439 &rendertype=abstract
- 440 21. Andre F, Ciruelos E, Rubovszky G, Campone M, Loibl S, Rugo HS, et al. Alpelisib
 441 for PIK3CA-mutated, hormone receptor-positive advanced breast cancer. *N Engl J*
 442 *Med* 2019: 380:1929-40

443

TABLE & FIGURE HEADERS

Table 1. Patient baseline characteristics.

Table 2. Most frequently observed treatment-emergent adverse events.

Figure 1. Anti-tumor activity and pre-treatment tumor genetics (all patients, N=30).

a) Waterfall plot showing best treatment response for all 30 patients – 25 with RECIST-measurable disease and 5 with non-measurable disease (the latter marked by an asterisk*). Best RECIST response and time on treatment in months are indicated for each patient. PR - partial response, SD - stable disease, PD - progressive disease).

b) Oncoprint plot showing pre-treatment mutation status of PIK3CA, PIK3R, PTEN, MAP3K1 and TP53 genes. In each square, detection of a mutation in the tissue (primary or metastatic) is shown on the left side, while detection on plasma (at baseline) is shown on the right. Cases where tissue was not available are indicated in dark grey; for all the others, both tissue and plasma were tested. The black outline indicates that the mutation is present in Cosmic database. The white star indicates mutations in tissue and plasma are not in the same position. Numbers on the top indicate the exon of PIK3CA mutations (9 or 20); T–tumor, P–plasma.

Figure 2. Spider plot showing change in tumor size over time for individual patients with RECIST-measurable disease (N=25).

Figure 3. Circulating tumor (ct)DNA correlative case studies.

In four individual patients each having different clinical outcomes, the variant allele fraction is shown over time for gene mutations in plasma whilst on study treatment.

471

472 **S1. Supplementary Figure 1. Taselisib pharmacokinetics in combination with**
473 **tamoxifen.**

474

475 **S2. Supplementary Figure 2. Pharmacokinetics: Z-endoxifen levels per taselisib**
476 **dose level.**

477

478 **S3. Supplementary Figure 3. Time to progression per patient in a swimmers plot (all**
479 **patients, N=30).**

480

481 **S4. Supplementary Figure 4. PIK3CA mutations detected at baseline (in samples**
482 **from 8 out of 30 patients).**

483

484

485

486

487

488

	Cohort 1 (N=6) 2mg Taselisib QD 21d, 7d off + 20mg tamoxifen	Cohort 2 (N=13) 4mg Taselisib QD 21d, 7d off + 20mg tamoxifen	Cohort 3 (N=11) 4mg Taselisib continuous + 20mg tamoxifen	All patients (N=30)
Age in years – median (range)	51 (41–68)	54 (45–72)	54 (35–81)	53 (35–81)
ECOG Performance				
Status				
0	3 (50%)	3 (23%)	5 (45%)	11 (37%)
1	3 (50%)	10 (77%)	6 (55%)	19 (63%)
Histological subtype				
Ductal	4 (67%)	12 (92%)	8 (73%)	24 (80%)
Lobular	2 (33%)	1 (8%)	2 (18%)	5 (17%)
Unknown	0	0	1 (9%)	1 (3%)
<i>PIK3CA</i> mutational status				
Wild type	5 (83%)	13 (100%)	8 (73%)	26 (87%)
H1047R mutation	1 (17%)	0	2 (18%)	3 (10%)
E545K mutation	0	0	1 (9%)	1 (3%)
Number of prior metastatic therapies – median (range)	2 (1-2)	2 (1-3)	2 (0-3)	2 (0-3)
Endocrine	2 (0-7*)	1 (0-5)	2 (0-5)	2 (0-7*)
Cytotoxic				
Prior endocrine therapies for metastatic disease				
Tamoxifen	1 (17%)	4 (31%)	1 (9%)	6 (20%)
Aromatase inhibitor				
- Anastrozole	0	3 (23%)	4 (36%)	7 (23%)
- Letrozole	2 (33%)	6 (46%)	4 (36%)	12 (40%)
- Exemestane	3 (50%)	4 (31%)	2 (18%)	8 (27%)
Fulvestrant	2 (33%)	2 (15%)	2 (18%)	6 (20%)
Megestrol acetate	0	1 (8%)	0	1 (3%)

* after data cleaning it was found that 1 patient had received more than 5 prior lines of cytotoxic chemotherapy

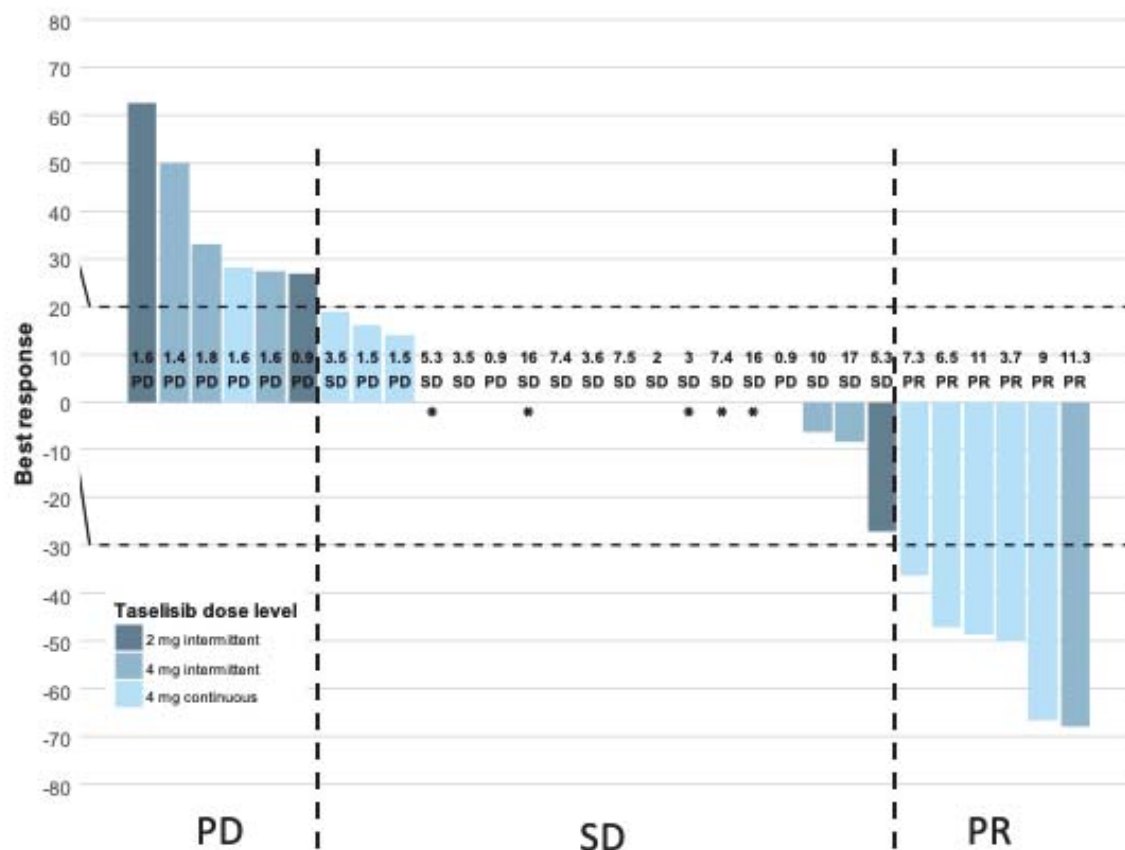
Table 1. Patient Baseline Characteristics

	Cohort 1 (N=6) 2mg Taselisib QD 21d, 7d off + 20mg tamoxifen			Cohort 2 (N=13) 4mg Taselisib QD 21d, 7d off + 20mg tamoxifen			Cohort 3 (N=11) 4mg Taselisib continuous + 20mg tamoxifen			All patients (N=30)		
	Grade 1-2	Grade 3-4		Grade 1-2	Grade 3-4		Grade 1-2	Grade 3-4		Grade 1-2	Grade 3-4	
AST/ALT/SGT increase	1 (17%)	0		5 (38%)	3 (23%)		4 (36%)	0		10 (33%)	3 (10%)	
Diarrhea/colitis*	2 (33%)	1 (17%)*		5 (38%)	1 (8%)*		3 (27%)	1 (9%)*		10 (33%)	3 (10%)	
Anemia	3 (50%)	0		3 (23%)	0		6 (54%)	0		12 (40%)	0	
Mucositis – oral	2 (33%)	0		2 (15%)	2 (15%)		4 (36%)	0		8 (27%)	2 (7%)	
Nausea	2 (33%)	0		5 (38%)	0		2 (18%)	0		9 (30%)	0	
Hyperglycemia	0	0		3 (23%)	0		4 (36%)	1 (9%)		7 (23%)	1 (3%)	
Lipase/amylase increase	1 (17%)	0		2 (15%)	2 (15%)		1 (9%)	2 (18%)		4 (13%)	4 (13%)	
Fatigue	0	0		3 (23%)	0		4 (36%)	0		7 (23%)	0	
Headache	1 (17%)	0		5 (38%)	0		1 (9%)	0		7 (23%)	0	
Thrombocytopenia	1 (17%)	0		3 (23%)	1 (8%)		1 (9%)	0		5 (17%)	1 (9%)	
Alopecia	0	0		4 (31%)	0		1 (9%)	0		5 (17%)	0	
Weight loss	1 (17%)	0		1 (8%)	0		3 (27%)	0		5 (17%)	0	
Abdominal pain	1 (17%)	0		1 (8%)	0		2 (18%)	0		4 (13%)	0	
Creatinine increase	0	0		3 (23%)	0		1 (9%)	0		4 (13%)	0	
Triglyceride increase	0	0		2 (15%)	0		1 (9%)	1 (9%)		3 (10%)	1 (3%)	
Pneumonitis	0	0		0	1 (8%)		0	0		0	1 (3%)	
Any AE	4 (67%)	1 (17%)		5 (38%)	8 (62%)		6 (54%)	5 (45%)		15 (50%)	14 (47%)	

Table 2. Most frequently observed treatment-emergent adverse events.

Highest grade of AEs occurring at any time point, in at least 10% of patients, and thought to be at least possibly study-drug related.
 AE – adverse event; AST – aspartate transaminase; ALT – alanine transaminase; GGT – gamma glutamyltransaminase; QD – once daily.

a)



b)

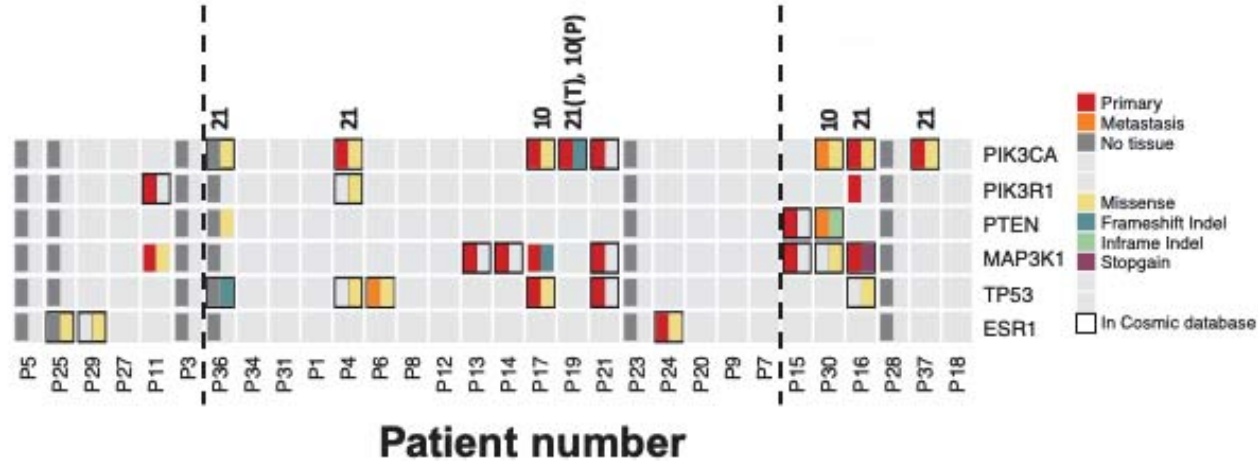


Figure 1.

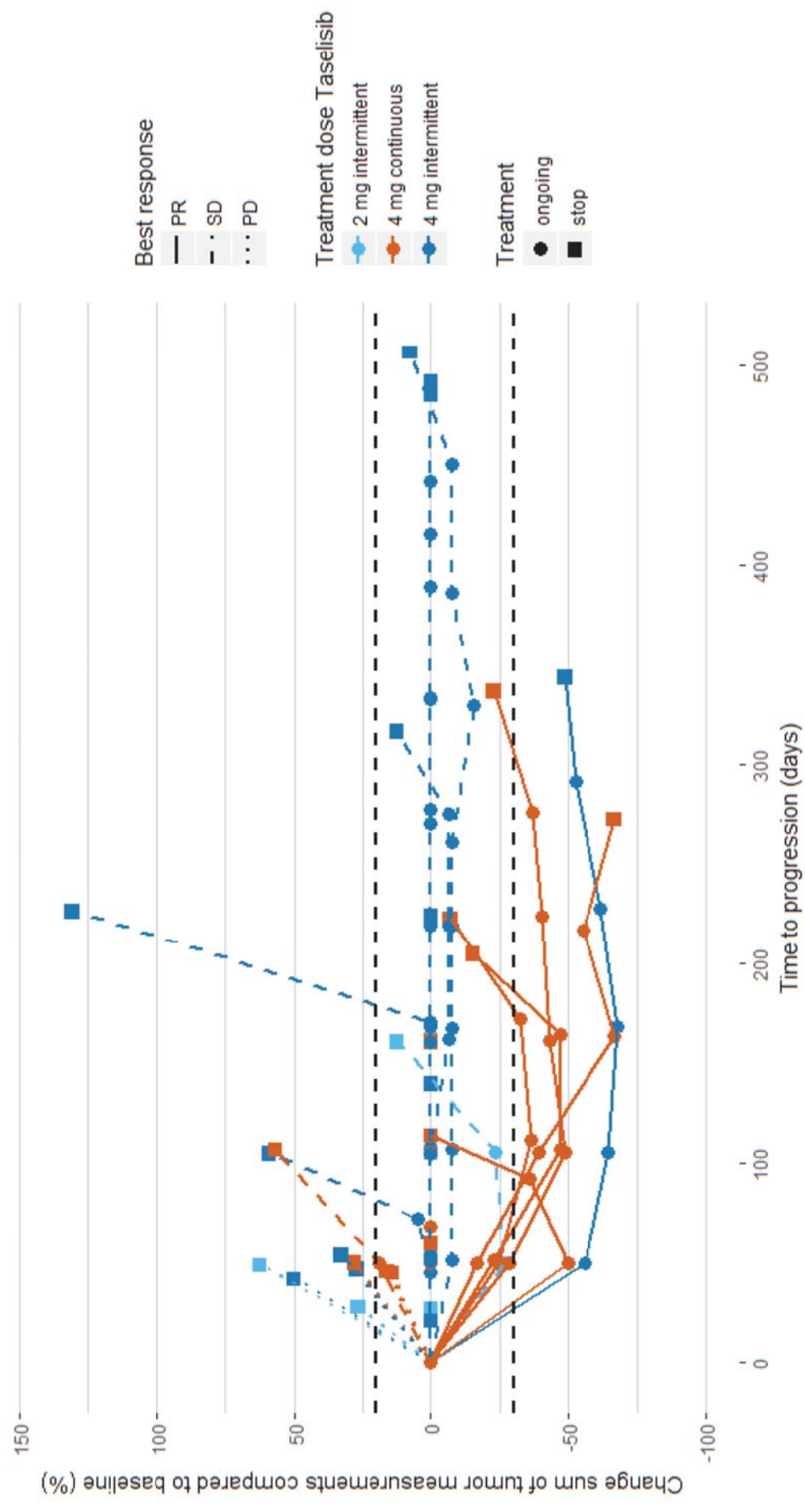
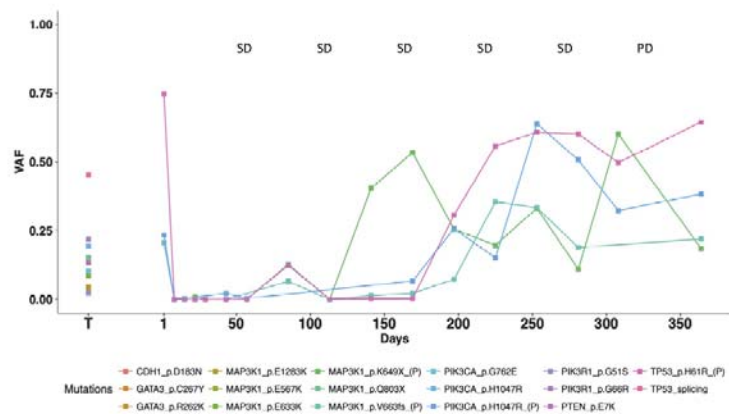
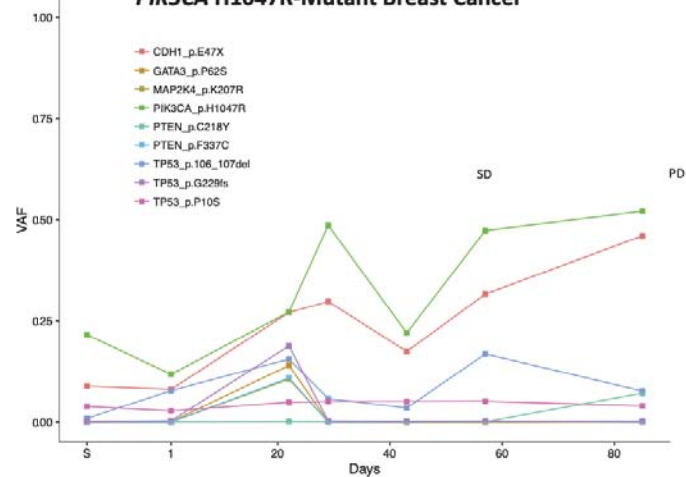


Figure 2 Downloaded from clincancerres.aacrjournals.org on October 1, 2019. © 2019 American Association for Cancer Research.

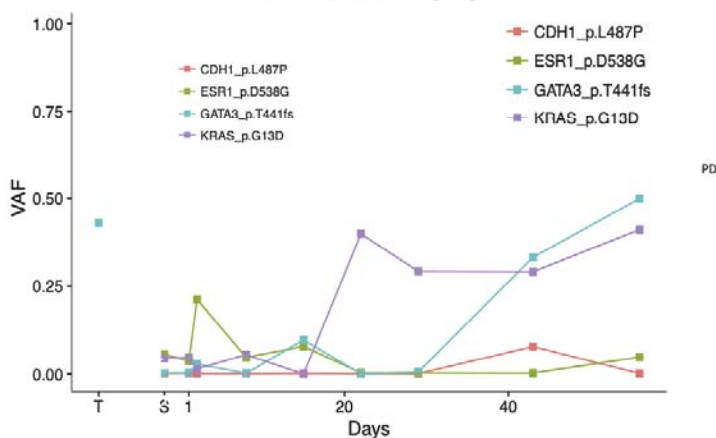
Case study 1. Confirmed Partial Response in ER-positive, *PIK3CA* H1047R-Mutant Breast cancer



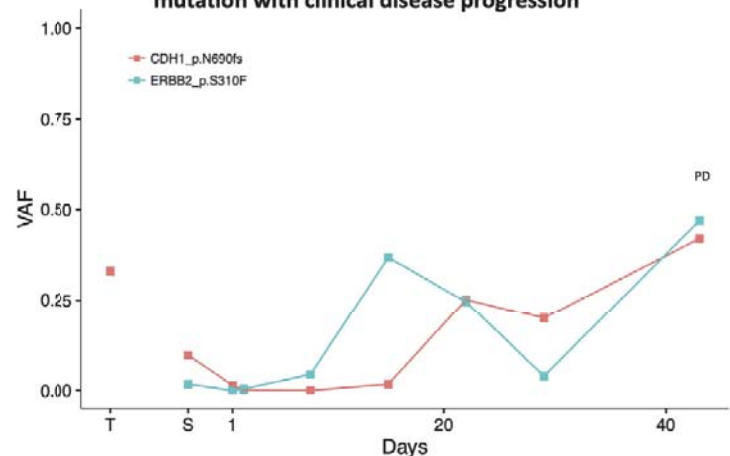
Case study 2. Progressive Disease in ER-positive, *PIK3CA* H1047R-Mutant Breast Cancer



Case study 3. *PIK3CA* WT, emergence *KRAS* mutation with clinical disease progression



Case study 4. *PIK3CA* WT, emergence of *ERBB2* mutation with clinical disease progression



CDH1 – cadherin 1; CT – computed tomography; ERBB2 – Erb-B2 Receptor Tyrosine Kinase 2; GATA3 – GATA Binding Protein 3; KRAS – Kirsten ras oncogene homolog; PIK3CA – Phosphatidylinositol-4,5-Bisphosphate 3-Kinase Catalytic Subunit Alpha; VAF – variant allele fraction; WT – wild type.
 S = screening. T = pre-treatment tumour sample.

Figure 3.

Clinical Cancer Research

POSEIDON phase 1b results: safety, efficacy and ctDNA response of taselisib combined with tamoxifen in hormone receptor positive metastatic breast cancer patients

Richard D Baird, Annelot GJ van Rossum, Mafalda Oliveira, et al.

Clin Cancer Res Published OnlineFirst August 22, 2019.

Updated version	Access the most recent version of this article at: doi: 10.1158/1078-0432.CCR-19-0508
Supplementary Material	Access the most recent supplemental material at: http://clincancerres.aacrjournals.org/content/suppl/2019/08/21/1078-0432.CCR-19-0508.DC1
Author Manuscript	Author manuscripts have been peer reviewed and accepted for publication but have not yet been edited.

E-mail alerts	Sign up to receive free email-alerts related to this article or journal.
Reprints and Subscriptions	To order reprints of this article or to subscribe to the journal, contact the AACR Publications Department at pubs@aacr.org .
Permissions	To request permission to re-use all or part of this article, use this link http://clincancerres.aacrjournals.org/content/early/2019/08/21/1078-0432.CCR-19-0508 . Click on "Request Permissions" which will take you to the Copyright Clearance Center's (CCC) Rightslink site.